### **BEST AVAILABLE COPY**



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Baker et al.

Docket No:

39780-2830C1P10

Serial No:

10/006,768

Group Art Unit:

1647

Filed:

December 6, 2001

Examiner:

Rachel B. Kapust

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC

ACIDS ENCODING THE SAME

Commissioner for Patents Washington, D.C. 20231

## DECLARATION OF LUC DESNOYERS, Ph.D., DR. AUDREY GODDARD, Ph.D., DR. PAUL J. GODOWSKI, Ph.D., DR. AUSTIN GURNEY, Ph.D., DR. COLIN K. WATANABE and DR. WILLIAM WOOD, Ph.D. UNDER 37 CFR 1.131

- 1. We are the inventors of the above-identified application.
- 2. We have read and understood the claims pending in this application, and are aware that the claims have been rejected as anticipated by International Patent Application Publication No. WO 00/00610 (Lal *et al.*, publication date January 6, 2000).
- 3. We conceived and reduced to practice the invention claimed in the above-identified application in the United States prior to January 6, 2000.
- 4. At the time the present invention was made, one of the inventors, Luc Desnoyers, Ph.D., was, as still is, responsible for overseeing the testing of novel polypeptides, including the polypeptide designated PRO1412, in chondrocyte proliferation assay (Assay #111, Example 153). This assay is used to find agents that are capable of inducing chondrocyte proliferation and/or redifferentiation, and can, therefore, be used in the treatment of joint diseases using a tissue engineering approach or as promising drug candidates to repair aging or arthritic joints, for example, in which the chondrocytes have been dedifferentiated.

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- 7. Copies of pages from laboratory notebook showing the positive results for the PRO1412 polypeptide (SEQ ID NO:140), identified by Pin number PIN753-1, in Assay #111 are attached to this declaration (with dates redacted) as Exhibit B. These experiments were performed and the results were obtained prior to January 6, 2000.
- 8. Exhibits A and B clearly show that the polypeptide designated PRO1412 was tested, and its ability to induce the proliferation and/or redifferentiation was determined prior to January 6, 2000.

Luc Desnoyers, Ph.D.	08/14/2004 Date
Audrey Goddard, Ph.D.	Date
Paul J. Godowski, Ph.D.	Date
Austin Gurney, Ph.D.	Date
Colin K. Watanabe	Date
William Wood, Ph.D.	Date

SV 2055606 v1 8/18/04 3:22 PM (39780.2830)



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Q- Loddard	8/19/04
Audrey Goddard, Ph.D.	Date
1	
Paul J. Godowski, Ph.D.	Date
Austin Gurney, Ph.D.	Date
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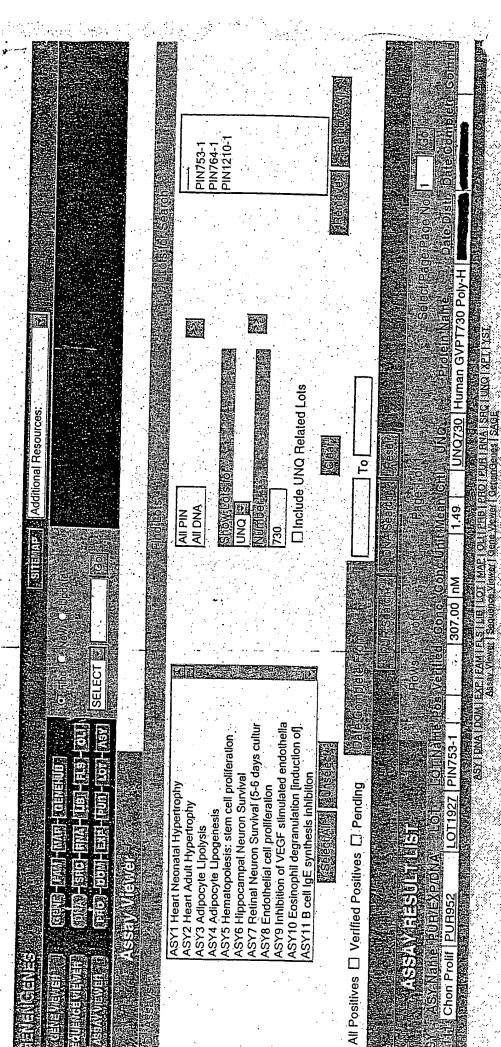
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GenenGenes Feedback

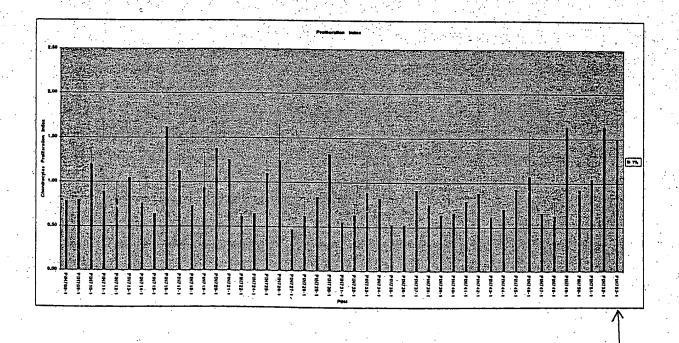
Primary Assay Result Assay ID Assay Name Assay Oate

N-	tebook Num	XXXXXX-XX									1.5	1%	1%
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		PIN708-1	PIN711-1	PIN714-1	PIN716-1	PIN722-1	PIN727-1	PIN731-1	PIN735-1	PIN739-1	PIN743-1	PIN747-1	F141.31-1
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PIN s	N1	N2	AVERAGE STOEV Positive		Verified	Comments	
PIN708-1	0.788	0.780	0.784	0.0			
PIN709-1	0.949	0.645	0.797	0.2	1		1:
N710-1	1.070	1,331	1.201	0.2		1 .	
N711-1	0.847	0.945	0,896	. 0,1	1		1
HN712-1	0,678	0.944	0.811	0.2	1	1	
PIN/713-1	1,162	0.941	1.052	0.2	1	1	
	0.825	0.697	0.782	0.1	1 1	· * **.	
PIN/714-1	G.535 ·	0.744	0.640	0.1			
PIN715-1	. 1,469	1,771	1,620	0.2	Positive	1	
PIN716-1	0.925	1,333	1,129	0.3	4	1	
PIN717-1	0.622	0.653	0.738	0.1			
PIN718-1		1,216	0.938	0.4	1 '		1
PIN719-1	0.659	1,786	1,380	0.0	Positive		4
PIN720-1	0.973	0.910	1.254	0.5		1	1
PfN721-1	1,598		0.614	0.0	1.		4 / 1
PIN722-1	0.632	0.597	0.848	0.2			
PIN724-1	0.515	0.781	1.102	0.5	1 .		
PIN725-1	0.7.15	1,489		0.4	. 1		1 .
PIN726-1	. 1,537	0.958	1.246		1		
PIN727-1	0.599	0.343	0.471	0.2	I		
1N728-1	0.471	0.774	0.523	0.2	1		
PIN729-1	0.532	1,144	0.838	0.4	1	1	1
PIN720-1	1.538	1,096	1,317	0.3	Positive		4
PIN731-1	0.557	0,556	0.557	0.0	1	. 1	
PIN732-1	0.551	0.722	0.636	0.1		1	
PIN733-1	0.595	1,184	0.890	0.4		1 .	
PIN734-1	0.951	0.697	0.824	0.2			
PIN735-1	0,522	0,520	0.521	0.0	ļ.	i .	1
	0.438	0.617	0.527	0.1		1	1 .
PIN736-1	0.578	1,159	0.919	0.3	i .		1 . 3
PIN737-1	0.586	0.824	0.755	ી હા.	1 ' '		. 1-
PIN738-1	0.524	0.654	0,639	0.0	_ i	1 .	
PIN739-1	0.524	0.635	0.660	0.0	1		
PIN740-1		0.880	0.796	0.1	1 .	· I .	
PIN741-1	0.712	0.961	0.666	0.1	1	1.	· [ · ·
PIN742-1	0,812	0.695	0.618	0.1	1		1
PIN743-1	0.541		0.708	0.1		1 .	
PIN744-1	0.665	0.751		6.6	1 .	. 1	1
PIN745-1	0.599	1.272	0.935	0.5		. I.	ł
PIN746-1	1,436	0.724	1.020-	0.1	1		
PIN747-1	0,588	0.733	0.661		1	T'	1
PtN748-1	0.484	0.781	0.633	0.2	Positive	1	1
PIN749-1	1,584	1,588	1,636	0.1	L-CONGRAGO	. 1	ļ
PIN750-1	0.757	1,105	0.931	. 0.2	1.	⊹.1	
IPIN751-1	0.989	1,104	1,048	0.1		11 .	-1 "
PIN752-1	1,518	1,565	1.642	0.0	Postive	1 .	1
PIN753-1	1,695	1,287	1,491	0.3	Positive		
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PINE		Average	STOEV
PIN708-1		0.78	0.0
PIN709-1 .		0.80	0.2
PIN710-1	1	1.20	0.2
1N711-1		0.90	0.1
PIN712-1	. 1	0.81	0.2
19713-1		1.05	.0.2
PIN7 14-1	-	0.76	0.1
HN715-1	1	0.64	. 0.1
2N716-1	,	1.62	0.2
4N717-1		1.13	0.3
N716-1	1	0.74	0.1
MN719-1		0.94	0.4
1N720-1	1	1.38	0.6
21N721-1		1.25	0.5 ·
1N722-1		0.61	0.0
N724-1		0.65	0.2
N725-1		1.10	0.5
1N726-1		1.25	0.4
PIN727-1		0.47	0.2
N728-1		0.62	0.2
N729-1		0.84	0.4
PIN730-1	i i	1.32	0.3
N731-1	• .1	0.56	0.0
9N732-1 9N733-1	. 1	0.64	0.1
4N/33-1 4N/34-1	1	0.89	0.4
1N734-1	. 1	0.82	0.2
1N738-1	1	0.52	0.0
7N737-1	1	0.53	0.1
4N738-1		0.92 0.76	0.3
1N739-1	ł	0.76	0.0
N740-1	1.0	0.64	0.0
2N741-1		0.60	0.0
MN742-1		0.89	0.1
N743-1	i.	0.62	0.1
N744-1		0.02	. 0.1
1N745-1	1	8.94	0.1
1N746-1	. 1	1.08	0.5
N747-1		0.66	0.1
1N748-1		0.63	0.2
IN749-1		1.64	0.1
1N750-1		0.93	0.1
HN751-1		1.05	0.1
N752-1		1.64	0.0
PIN753-1	1	1.49	0.3



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